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First Named

Inventor : Richard Jean-Pierre

Appln. No. : 10/670,595

Filed : September 25, 2003

Title : PRESCRIPTION DRUG COMPLIANCE  
MONITORING SYSTEM

Docket No. : S863.12-0001

Group Art Unit: 3763

Examiner:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL,  
FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,  
ALEXANDRIA, VA 22313, THIS 12 DAY OF

October, 2004.  
[Signature]  
PATENT ATTORNEY

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary [if application filing date is after June 30, 2003, copies of U.S. patents and application publications are not required].

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior Application No. , filed on \_ . The following references, listed on the enclosed PTO Form 1449 were submitted to and/or cited by the Office in the prior application; therefore, under 37 C.F.R. 1.98(d), copies are not required to be provided herewith:

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the \_ Patent Office in counterpart foreign Application No. \_ filed \_.

The following foreign language documents and English language documents are believed to be equivalent or substantially equivalent:

FOREIGN LANGUAGE

ENGLISH LANGUAGE

### TIME OF FILING

The Information Disclosure Statement is being filed:

1. X with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for

continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

2. ☐ after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:

(check either A or B below)

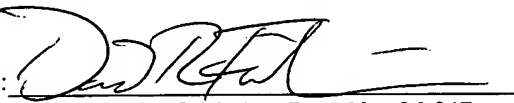
- A. ☐ a statement as specified in 37 C.F.R. 1.97(e), no fee is required.  
B. ☐ the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:

- A. ☐ a statement as specified in 37 C.F.R. 1.97(e); and  
B. ☐ the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

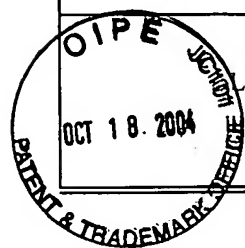
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DRF/CJB:hlw

FORM PTO-1449

Atty. Docket No.:  
S863.12-0001Application No.:  
10/670,595

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

First Named Inventor:  
Richard Jean-PierreFiling Date:  
September 25, 2003Group Art:  
3763

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	4,725,997	02-16-1988	Urquhart et al.
AB	4,748,600	05-31-1988	Urquhart
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AV	US 6,633,796 B1	10-14-2003	Pool et al.
AW	US 6,707,763 B2	03-16-2004	Osberg et al.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449</b>	Atty. Docket No.: S863.12-0001	Application No.: 10/670,595
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Richard Jean-Pierre	
	Filing Date: September 25, 2003	Group Art: 3763

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AX			
AY			
AZ			
BA			
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BD			
BE			
BF			
BG			
BH			

## FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
BI				
BJ				
BK				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BL	www.aardex.ch, MEMS Medication Management System
BM	
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